

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: August 7, 2002

Signature: 

(Brent LaBarge)

#3 MP/8  
Docket No.: SEPR-P01-051  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of:  
Currie et al.

Application No.: 10/087596

Group Art Unit: 1614

Filed: March 1, 2002

Examiner: Not Yet Assigned

For: Compositions And Methods For Management Of  
Serotonin-Mediated Disorders

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Box Missing Parts**  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed March 22, 2002, Applicants respectfully submit a Combined Declaration and Power of Attorney and the Filing Fee for the Application (as shown on accompanying Fee Transmittal).

Please charge our Deposit Account No. 18-1945 in the amount of \$1,896.00 covering the fee set forth in 37 CFR 1.16(e) and the filing fee for the subject application. The Commissioner is hereby authorized to credit any overpayment or charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. SEPR-P01-051. A duplicate copy of this paper is enclosed.

Dated: August 7, 2002

Respectfully submitted,

By- 

Matthew P. Vincent

Registration No.: 36,709

ROPES & GRAY

One International Place

Boston, Massachusetts 02110-2624

(617) 951-7000

(617) 951-7050 (Fax)

Attorneys for Applicant



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/087,596	03/01/2002	Marc Currie	SEPR-P01-051

28120  
ROPES & GRAY  
ONE INTERNATIONAL PLACE  
BOSTON, MA 02110-2624

CONFIRMATION NO. 7919

## FORMALITIES LETTER



\*OC000000007695400\*

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 03/22/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2002.
  - \$1134 for 63 total claims over 20.
  - \$588 for 7 independent claims over 3.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2872.

*A copy of this notice **MUST** be returned with the reply.*

*Margaret*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

R&G  
Docket No.: *SEPR-P01-051*

Action: *OAMP*Stat. Deadline: *22May02/22Oct02*



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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10/087,596	03/01/2002	Marc Currie	SEPR-P01-051

28120  
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BOSTON, MA 02110-2624

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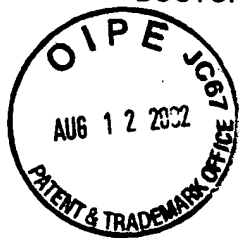
## FORMALITIES LETTER



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Date Mailed: 03/22/2002

COPY OF PAPERS  
ORIGINALLY FILED



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- The balance due by applicant is \$ 2872.

*A copy of this notice **MUST** be returned with the reply.*

*margaret*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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181945

08/13/2002 CCHAUI

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CH CH CH CH CH  
370.00 567.00 294.00 140.00 65.00

01 FC:201  
02 FC:203  
03 FC:202  
04 FC:204  
05 FC:205



<b>FEE TRANSMITTAL</b> for FY 2002 <small>Patent fees are subject to annual revision.</small>		<b>Complete if Known</b>																																																																																					
<div style="display: flex; align-items: center;"><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</div>		Application Number	10/087596																																																																																				
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		First Named Inventor	Mark G. Currie																																																																																				
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<div style="display: flex;"><div style="flex: 1;"><b>METHOD OF PAYMENT</b> (check all that apply) <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Check</div><div><input type="checkbox"/> Credit Card</div><div><input type="checkbox"/> Money Order</div><div><input type="checkbox"/> Other</div><div><input type="checkbox"/> None</div></div><div><input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 18-1945 Deposit Account Name: Ropes &amp; Gray</div><div>The Commissioner is hereby authorized to: (check all that apply) <div style="display: flex; justify-content: space-between;"><div><input checked="" type="checkbox"/> Charge fee(s) indicated below</div><div><input checked="" type="checkbox"/> Credit any overpayments</div></div><div><input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application</div><div><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.</div></div></div></div> <div style="flex: 1;"><b>FEE CALCULATION</b> <b>1. BASIC FILING FEE</b><table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td></td><td></td><td>101</td><td>740</td><td>Utility filing fee</td><td>370.00</td></tr><tr><td></td><td></td><td>106</td><td>330</td><td>Design filing fee</td><td></td></tr><tr><td></td><td></td><td>107</td><td>510</td><td>Plant filing fee</td><td></td></tr><tr><td></td><td></td><td>108</td><td>740</td><td>Reissue filing fee</td><td></td></tr><tr><td></td><td></td><td>114</td><td>160</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5" style="text-align: right;"><b>SUBTOTAL (1)</b></td><td>370.00</td></tr></tbody></table> <b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b><table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td></td><td></td><td>103</td><td>18</td><td>Claims in excess of 20</td><td></td></tr><tr><td></td><td></td><td>102</td><td>84</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td></td><td></td><td>104</td><td>280</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td></td><td></td><td>109</td><td>84</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td></td><td></td><td>110</td><td>18</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="5" style="text-align: right;"><b>SUBTOTAL (2)</b></td><td>1,001.00</td></tr></tbody></table><p><small>**or number previously paid, if greater; For Reissues, see above</small></p></div>				Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid			101	740	Utility filing fee	370.00			106	330	Design filing fee				107	510	Plant filing fee				108	740	Reissue filing fee				114	160	Provisional filing fee		<b>SUBTOTAL (1)</b>					370.00	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid			103	18	Claims in excess of 20				102	84	Independent claims in excess of 3				104	280	Multiple dependent claim, if not paid				109	84	** Reissue independent claims over original patent				110	18	** Reissue claims in excess of 20 and over original patent		<b>SUBTOTAL (2)</b>					1,001.00
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**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	Surcharge - late filing fee or oath	65.00
		127	50	Surcharge - late provisional filing fee or cover sheet	
		139	130	Non-English specification	
		147	2,520	For filing a request for ex parte reexamination	
		112	920*	Requesting publication of SIR prior to Examiner action	
		113	1,840*	Requesting publication of SIR after Examiner action	
		115	110	Extension for reply within first month	
		116	400	Extension for reply within second month	
		117	920	Extension for reply within third month	460.00
		118	1,440	Extension for reply within fourth month	
		128	1,960	Extension for reply within fifth month	
		119	320	Notice of Appeal	
		120	320	Filing a brief in support of an appeal	
		121	280	Request for oral hearing	
		138	1,510	Petition to institute a public use proceeding	
		140	110	Petition to revive - unavoidable	
		141	1,280	Petition to revive - unintentional	
		142	1,280	Utility issue fee (or reissue)	
		143	460	Design issue fee	
		144	620	Plant issue fee	
		122	130	Petitions to the Commissioner	
		123	50	Processing fee under 37 CFR 1.17(q)	
		126	180	Submission of Information Disclosure Stmt	
		581	40	Recording each patent assignment per property (times number of properties)	
		146	740	Filing a submission after final rejection (37 CFR 1.129(a))	
		149	740	For each additional invention to be examined (37CFR 1.129(b))	
		179	740	Request for Continued Examination (RCE)	
		169	900	Request for expedited examination of a design application	
Other fee (specify)					
<b>SUBTOTAL (3)</b>					525.00

**SUBMITTED BY**  
Name (Print/Type): Matthew P. Vincent  
Signature:

**Complete (if applicable)**  
Registration No. (Attorney/Agent): 36,709  
Telephone: (617) 951-7739  
Date: August 7, 2002

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